

# Estimation of surface CO<sub>2</sub> flux and observation impact using the CarbonTracker

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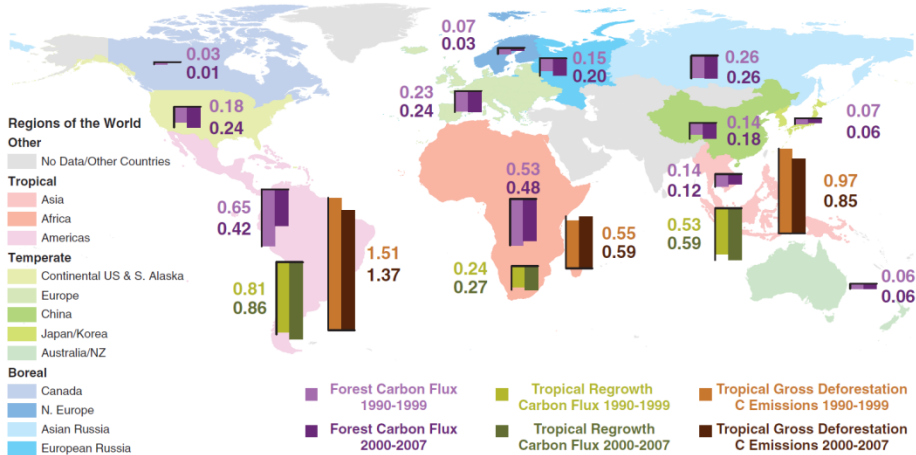


# Outline

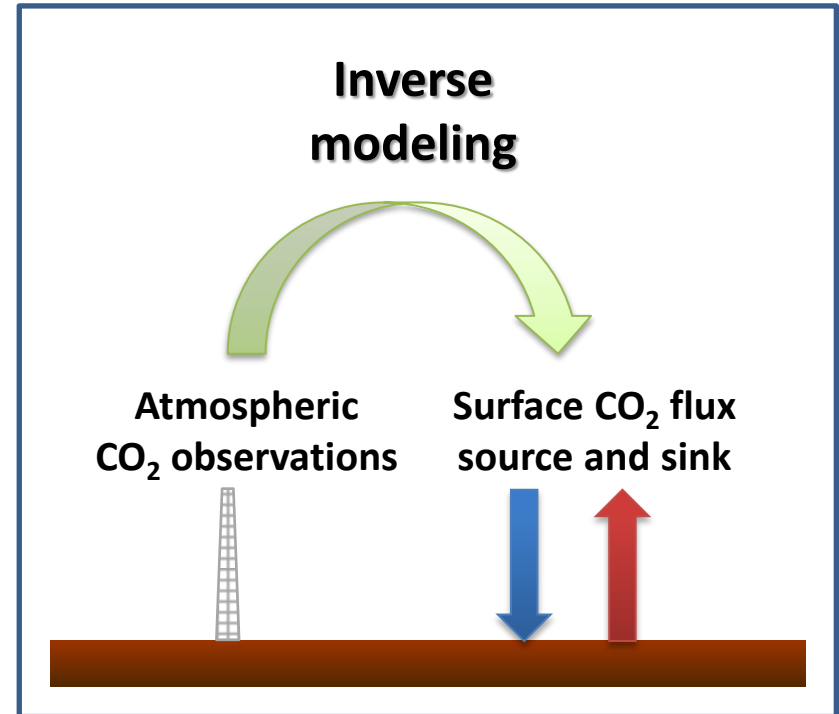
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- 1. Introduction**
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# Introduction

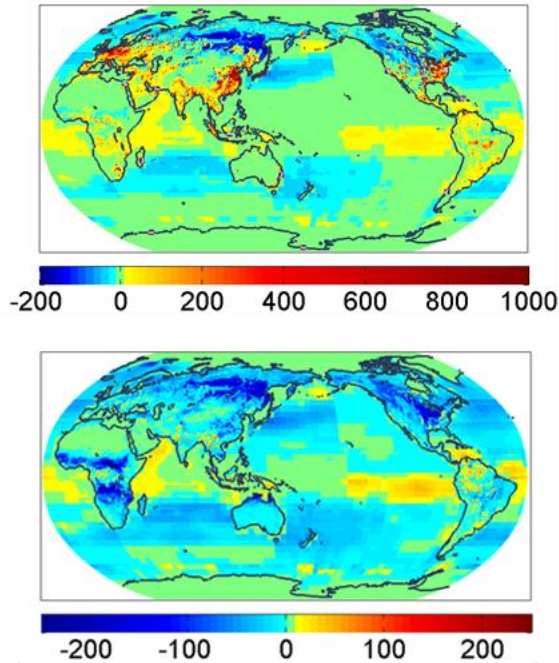


(Pan et al., 2009)

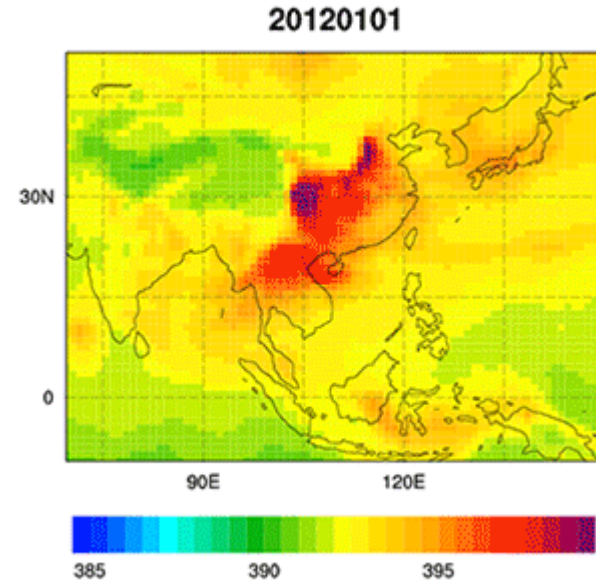
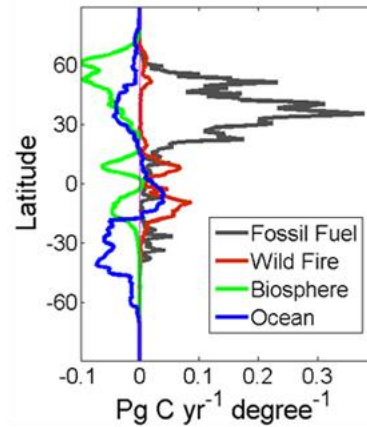


- The terrestrial ecosystem in the Northern Hemisphere (NH) plays an important role in the global carbon balance (Hayes et al., 2011; Le Quéré et al., 2015).
- To estimate surface CO<sub>2</sub> flux, atmospheric CO<sub>2</sub> inversion studies are conducted using atmospheric transport models and atmospheric CO<sub>2</sub> observations (Gurney et al., 2002; Peylin et al., 2013).

# Introduction



Spatial distribution of surface CO<sub>2</sub> fluxes



Column CO<sub>2</sub> concentration

(CarbonTracker-Asia; [www.nimr.go.kr/2/carbontracker/index.html](http://www.nimr.go.kr/2/carbontracker/index.html))

- CarbonTracker is an inverse modeling system that estimates the surface CO<sub>2</sub> flux using an ensemble Kalman filter with atmospheric CO<sub>2</sub> measurements as a constraint.

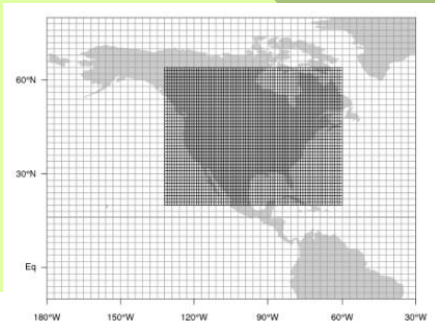
# Introduction

**CarbonTracker –  
NOAA**  
(Peters et al., 2005,  
2007;  
Peylin et al., 2013)

**CarbonTracker –  
Europe**  
(Peters et al., 2010;  
Peylin et al., 2013;  
Le Quéré et al., 2014)

**CarbonTracker –  
Asia**  
(Kim et al., 2012, 2014a,  
2014b)

**CarbonTracker –  
China**  
(Zhang et al., 2014a,  
2014b)



- Since the original CarbonTracker release, a series of improvements has been made with subsequent releases.

# Part I

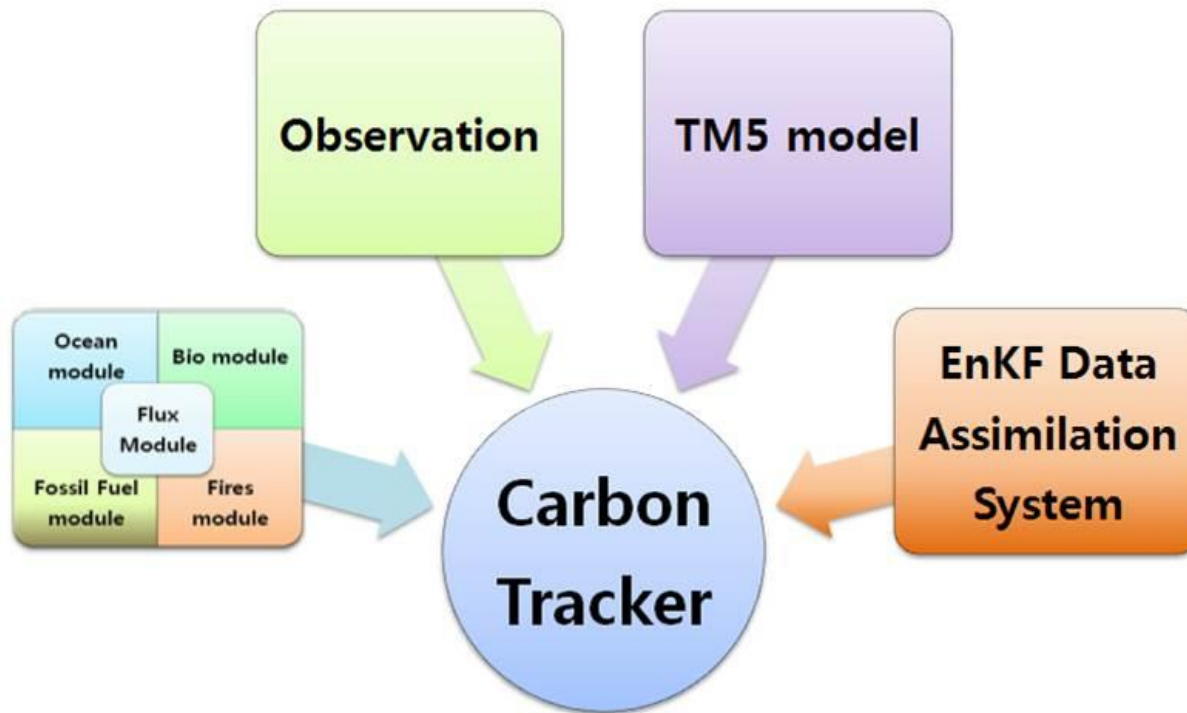
Influence of CO<sub>2</sub> observations on the  
optimized CO<sub>2</sub> flux

# Motivation

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- Atmospheric CO<sub>2</sub> observations can be used to quantitatively estimate the source and sink of surface CO<sub>2</sub> fluxes (Gurney et al., 2002; Ciais et al., 2010; Peylin et al., 2013).
- Various state-of-the-art data assimilation schemes based on linear estimation theories (Talagrand 1997) have been used in atmospheric CO<sub>2</sub> inversion studies.
- The influence matrix (Cardinali et al., 2004) of the linear statistical analysis scheme can diagnose the impact of individual observations on the analysis in observational space.
- In part I, to estimate the impact of CO<sub>2</sub> observations on the analyzed surface CO<sub>2</sub> fluxes, both self sensitivity and information content are calculated in the CarbonTracker.

# Method : CarbonTracker



- CarbonTracker consists of **4 modules**.
- Flux module includes 4 prior fluxes : **Biosphere, Ocean, Fossil Fuel, Fires** flux.
- TM5 is **transport model** for calculating atmospheric CO<sub>2</sub> concentration using fluxes.
- Surface carbon flux is optimized in **data assimilation** process with CO<sub>2</sub> concentration **observations**.



# Method : Data assimilation process

- Flux calculation with scaling factor

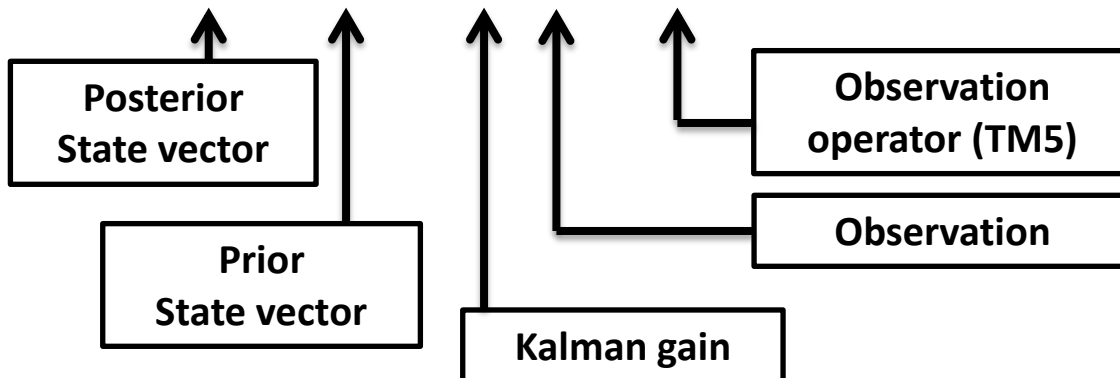
$$F(x, y, t) = \lambda_r \cdot F_{bio}(x, y, t) + \lambda_r \cdot F_{ocn}(x, y, t) + F_{ff}(x, y, t) + F_{fire}(x, y, t)$$

- Background fluxes on  $1^\circ \times 1^\circ$  grid are calculated by multiplying four *a priori* fluxes with prior scaling factors.
- Optimized fluxes are calculated by multiplying four prior fluxes by posterior scaling factors.

- Equations used in data assimilation process (Whitaker and Hamill 2002)

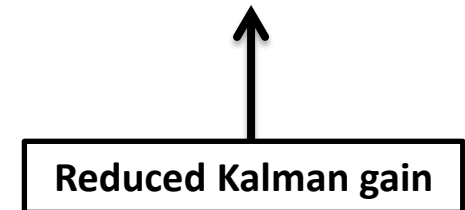
## Mean state vector update

$$\mathbf{x}_t^a = \mathbf{x}_t^b + \mathbf{K}(\mathbf{y}_t^o - \mathcal{H}(\mathbf{x}_t^b))$$



## Perturbation state vector update

$$\mathbf{x}'_i{}^a = \mathbf{x}'_i{}^b - \tilde{\mathbf{k}}\mathcal{H}(\mathbf{x}'_i{}^b)$$



# Method : Influence matrix calculation

**Analysis sensitivity w.r.t. the observations** (Cardinali et al., 2004)

$$\mathbf{S}^o = \frac{\partial \mathbf{y}^a}{\partial \mathbf{y}^o} = \mathbf{K}^T \mathbf{H}^T = \mathbf{R}^{-1} \mathbf{H} \mathbf{P}^a \mathbf{H}^T$$

**Analysis sensitivity w.r.t. the observations (self-sensitivity) in EnKF framework**  
(Liu et al., 2009)

$$\mathbf{S}_{jj}^o = \frac{\partial \mathbf{y}_j^a}{\partial \mathbf{y}_j^o} = \left( \frac{1}{m-1} \right) \frac{1}{\sigma_j^2} \sum_{i=1}^m (\mathbf{H} \mathbf{X}_i^a)_j \times (\mathbf{H} \mathbf{X}_i^a)_j \quad 0 \leq \mathbf{S}_{jj}^o \leq 1$$

**Information content** : the amount of information extracted from observations

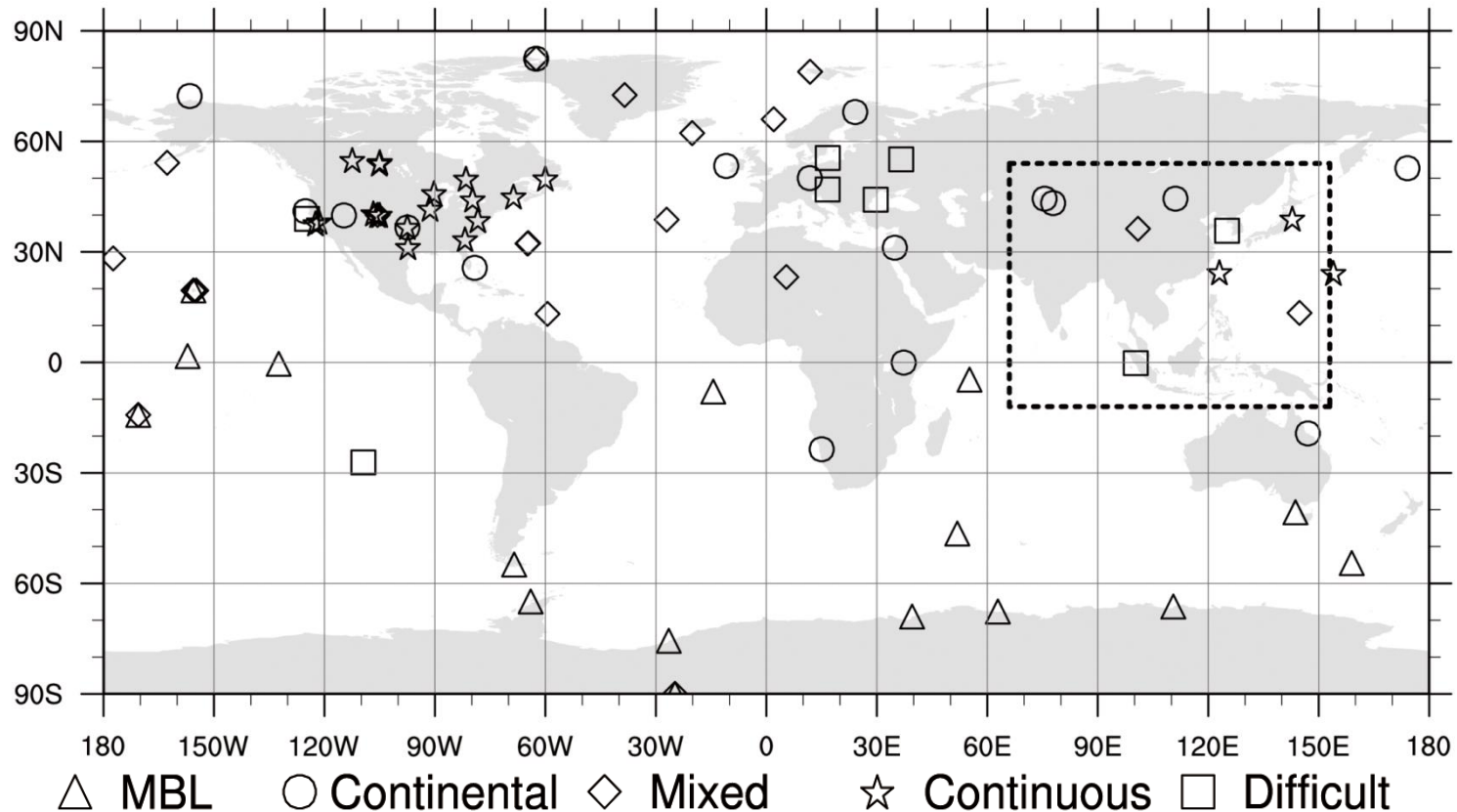
$$GAI = \frac{\text{tr}(\mathbf{S}^o)}{p}$$

Globally averaged observation influence

$$PAI = \frac{\sum_{j \in I} \mathbf{S}_{jj}^o}{p_I}$$

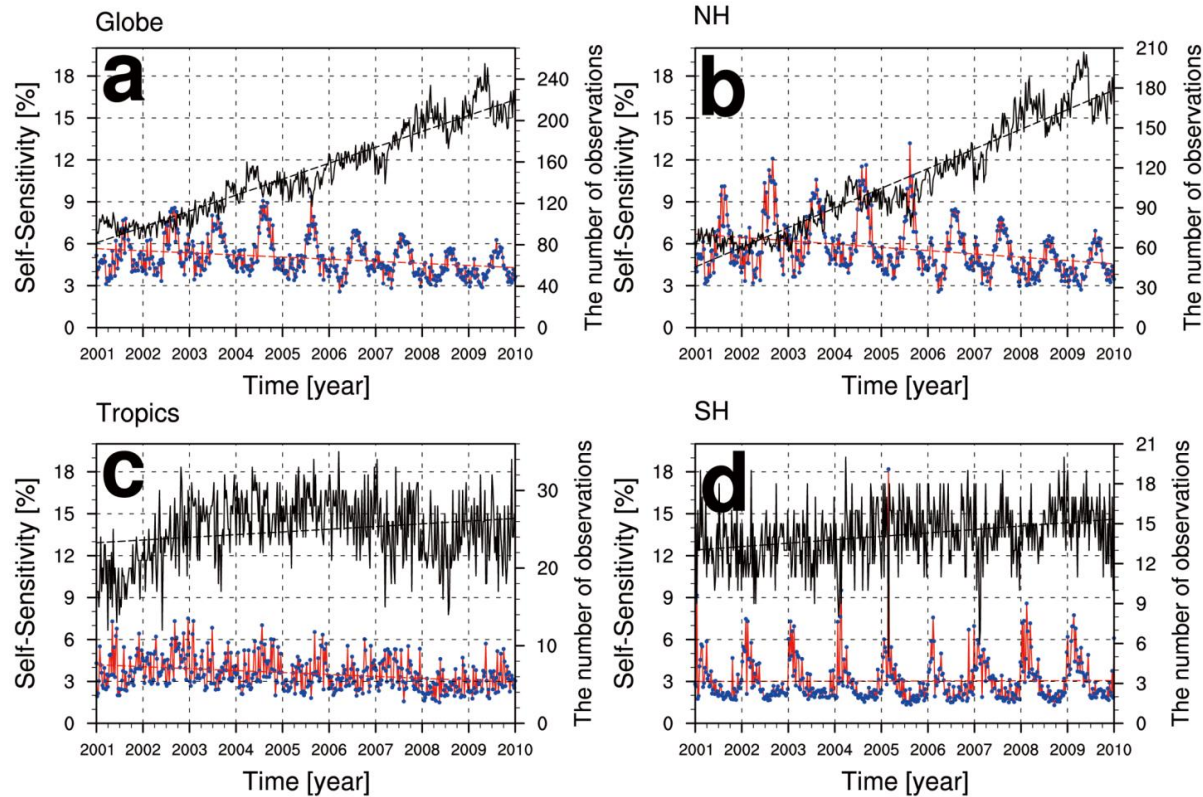
Partial influence for any selected subset of observations

# Method : Experiment set-up



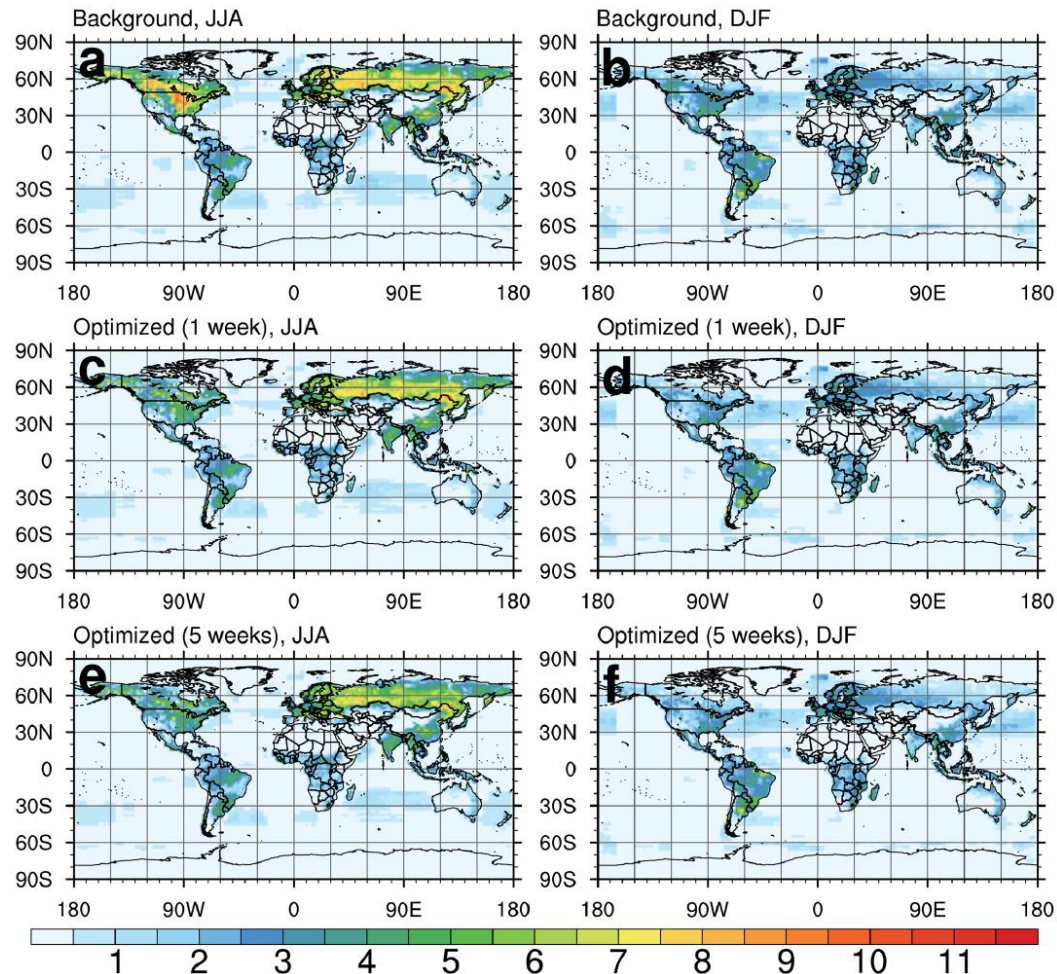
<b>Experimental period</b>	<b>2000. 1. 1. ~ 2009. 12. 31.</b>
<b>Assimilation weeks of lag</b>	<b>5 week</b>
<b>The number of ensemble</b>	<b>150</b>

# Results : Average self-sensitivity



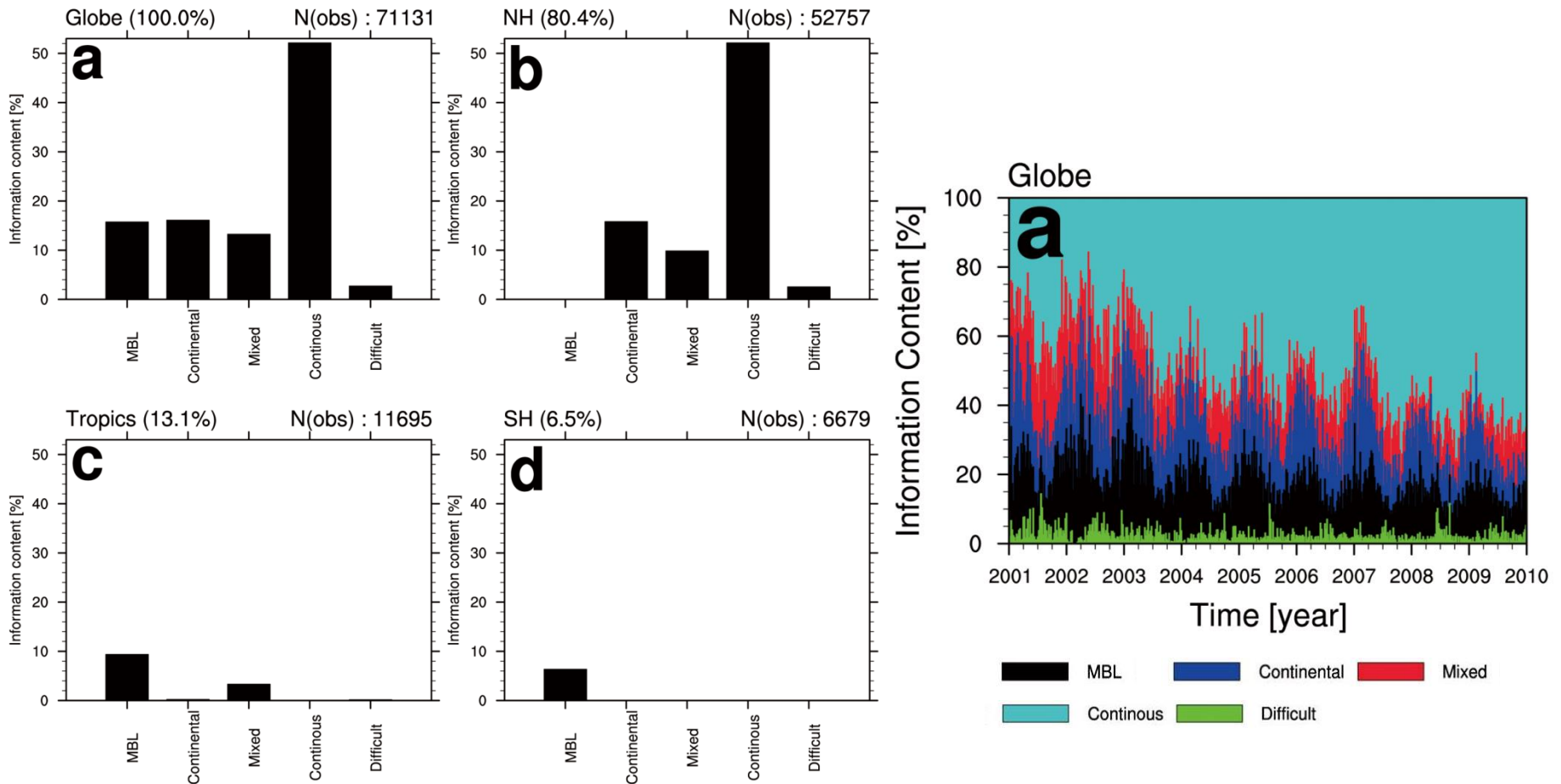
- The average self-sensitivity decreases as the observation number increases, showing the inversely proportional relationship.
- There is a seasonal variability in the average self-sensitivity, showing large values in summer and small values in winter.

# Results : surface CO<sub>2</sub> flux spread



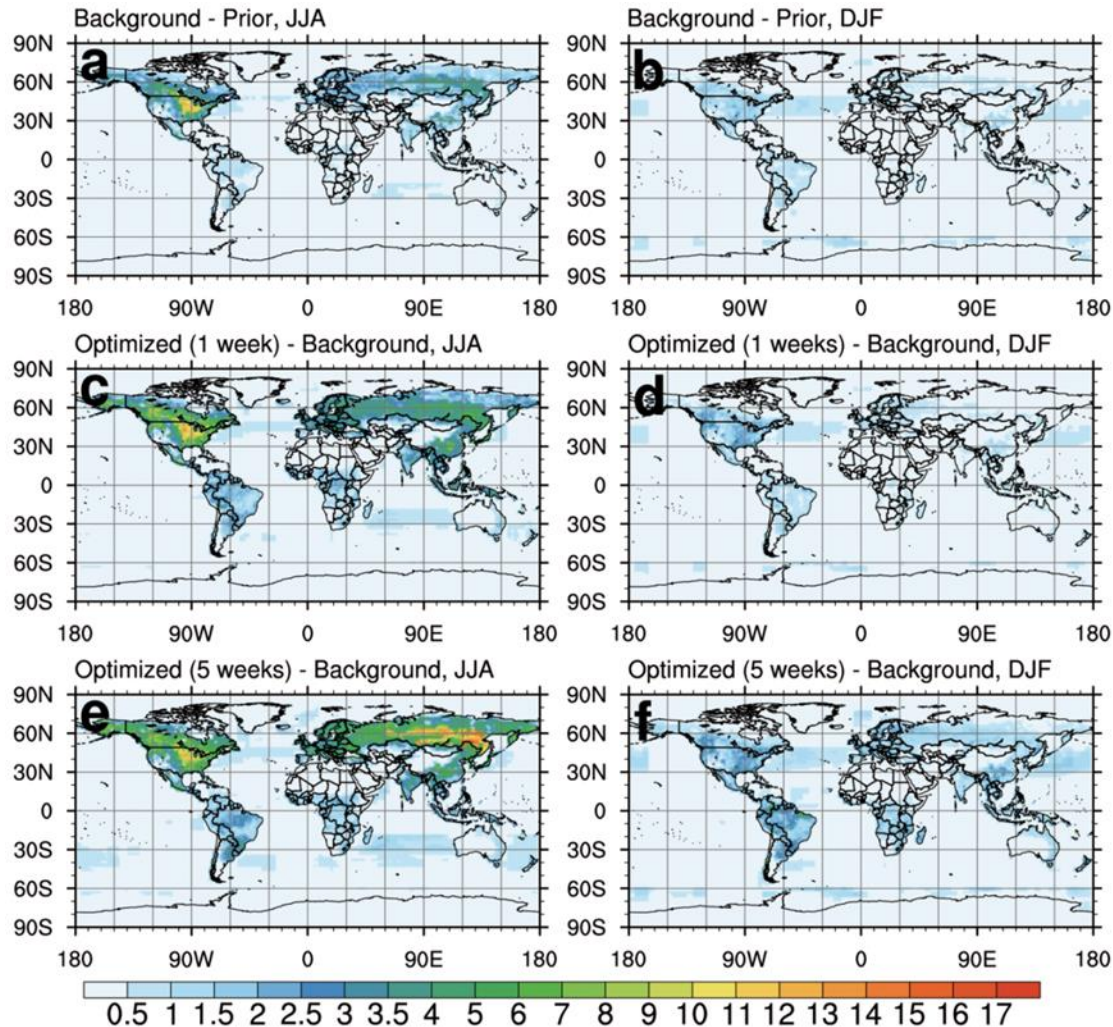
- The ensemble spread of the prior surface CO<sub>2</sub> fluxes reflects uncertainties, which are projected onto the ensemble spread of the background and analysis CO<sub>2</sub> concentrations by the transport model.

# Results : Information content



- Most informative observation site category is continuous category.
- MBL, Continental, and Mixed site categories show similar magnitude of information content, but Difficult site category shows smallest information content.

# Results : Information content



- The regions of large average information content are consistent with the regions of large root mean square differences (RMSD) of the surface CO<sub>2</sub> fluxes.

# Conclusion (Part I)

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- In part I, the effect of CO<sub>2</sub> concentration observations on the optimized surface CO<sub>2</sub> fluxes in CarbonTracker was evaluated by calculating the influence matrix.
- The analysis sensitivity is inversely proportional to observation numbers used in the assimilation.
- The time series of globally averaged analysis sensitivities show seasonal variations of greater (smaller) sensitivities in summer (winter), which is attributed to the surface CO<sub>2</sub> flux uncertainties.
- The strong correlation between the information content and the optimized surface CO<sub>2</sub> fluxes exists.
- The results indicates that additional observation in other regions is necessary to estimate the surface CO<sub>2</sub> flux in theses areas as accurately as in North America.

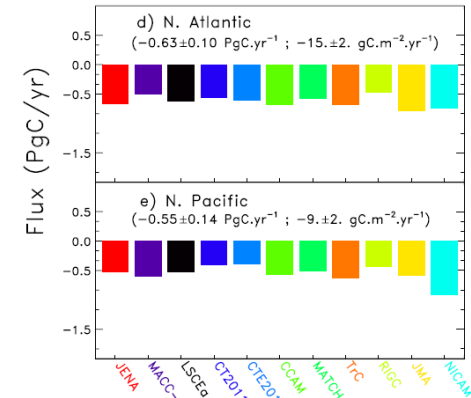
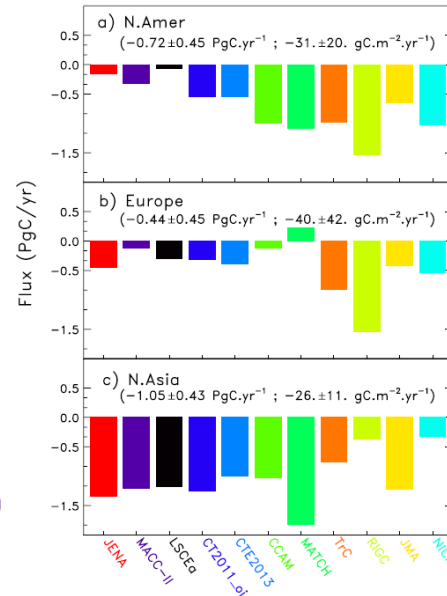
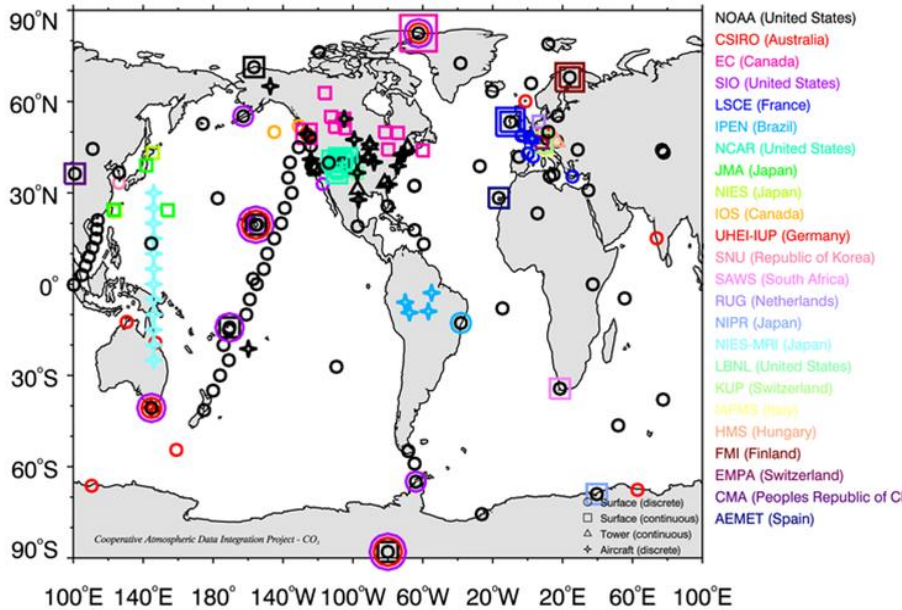


## Part II

Impact of Siberian observations on  
the optimization of surface CO<sub>2</sub> flux

# Motivation

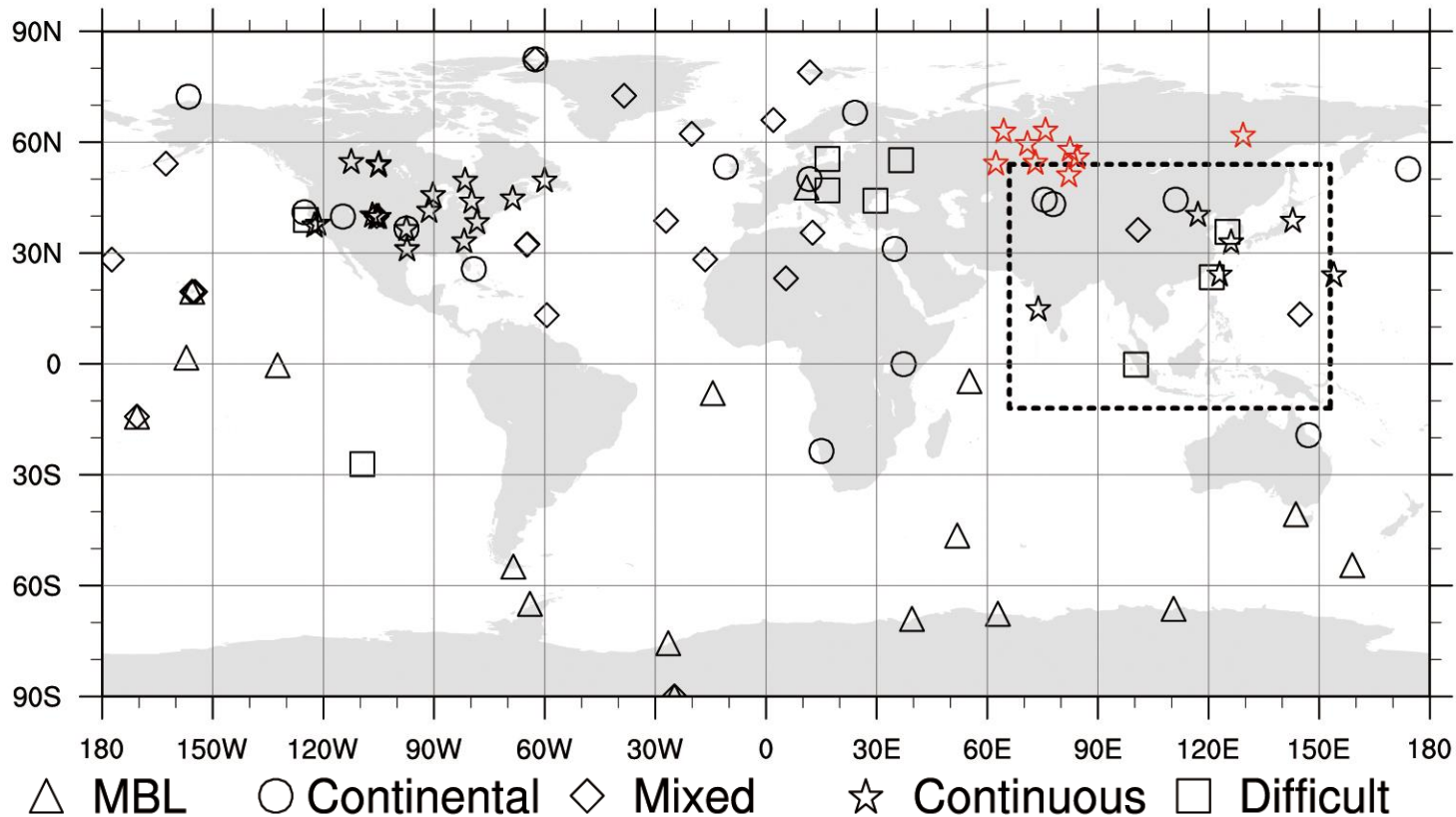
GLOBALVIEW-CO<sub>2</sub>, 2013



(Peylin et al., 2013)

- Although surface CO<sub>2</sub> sources and sinks in Asia affect the global carbon cycle considerably, the atmospheric CO<sub>2</sub> observation network is sparse in Asia.
- In part II, the impact of additional Siberian observations on the optimized surface CO<sub>2</sub> flux over the globe and Asian region within CarbonTracker are investigated by comparing the results of estimated surface CO<sub>2</sub> fluxes from two experiments with and without Siberian observations.

# Method : Experiment set-up

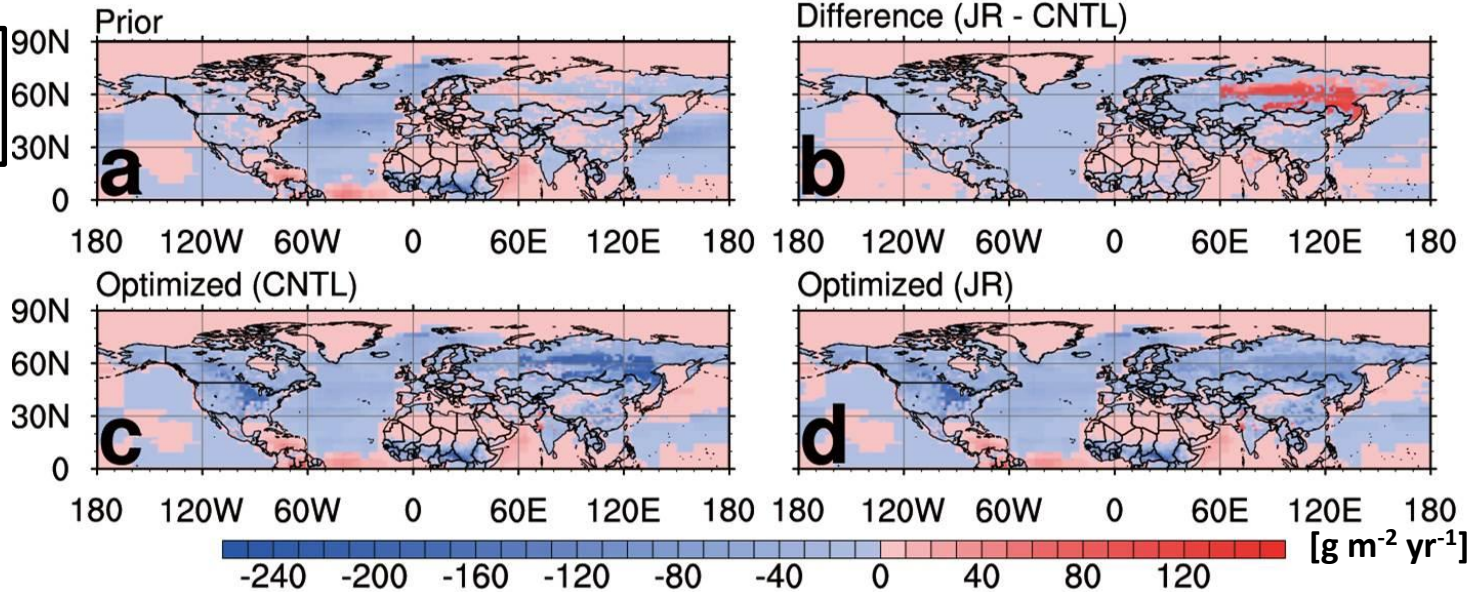


Experiment name	CNTL	JR
Period	2000. 1. 1. ~ 2009. 12. 31.	
Weeks of lag (assimilation window)	5 week	
The number of ensemble	150	

- Siberian tower network, Japan-Russia Siberian Tall Tower Inland Observation Network (JR-STATION) (Sasakawa et al., 2010; 2013), observations are used in the JR experiment.

# Result : Prior and optimized surface CO<sub>2</sub> flux

2002-09  
Average

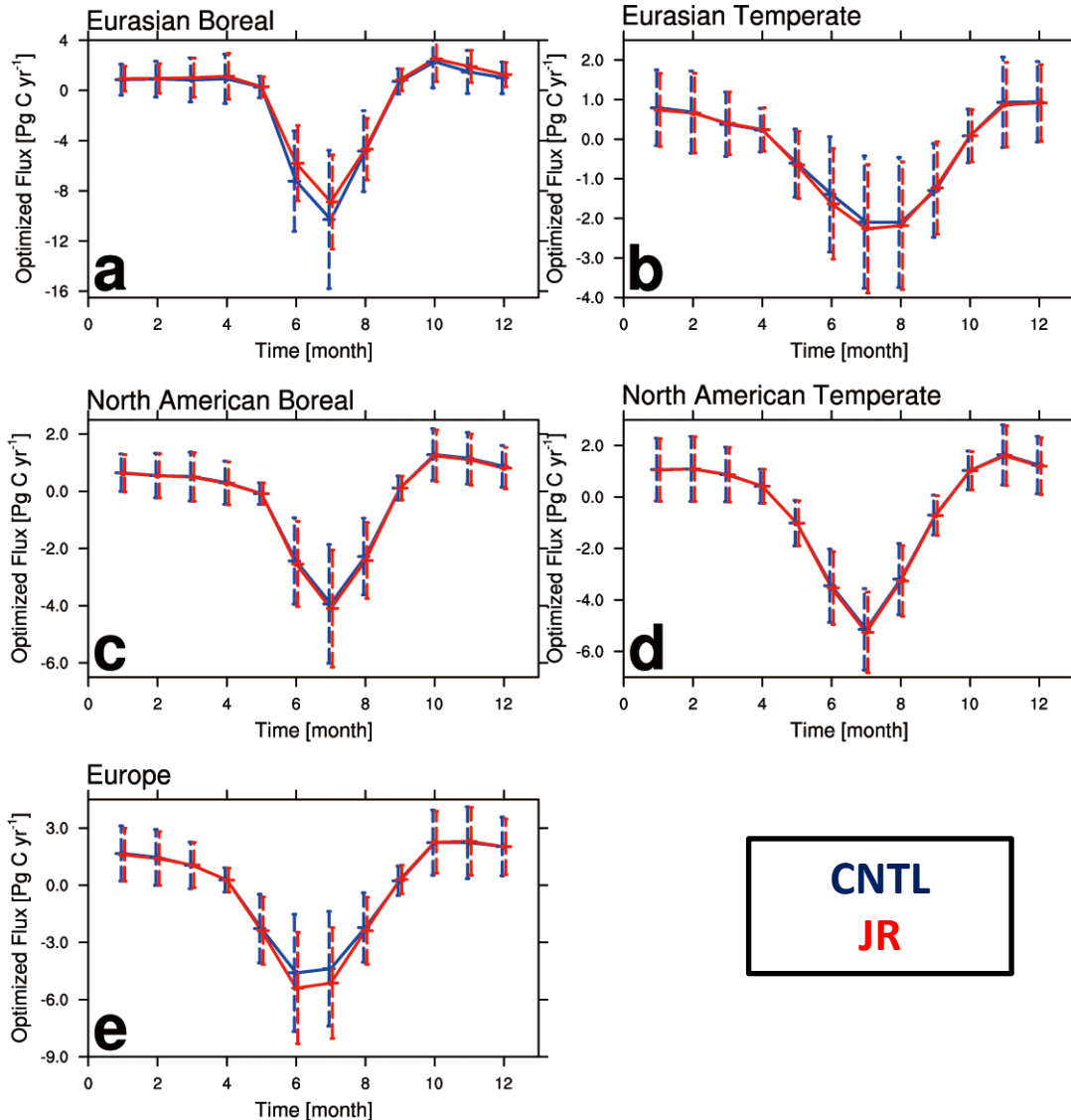


A prior and optimized surface CO<sub>2</sub> fluxes and their uncertainties

Region	A priori	CNTL	JR
Global total	-3.94±2.23	-5.69±1.84	-5.60±1.72
Global land	-1.36±1.90	-3.62±1.57	-3.57±1.43
Global ocean	-2.58±1.18	-1.95±0.97	-2.03±0.96

- The global total optimized CO<sub>2</sub> fluxes are similar for each experiment.
- The differences in fluxes between the CNTL and JR experiments are distinctive in EB (Siberia) where the new additional observations are assimilated.

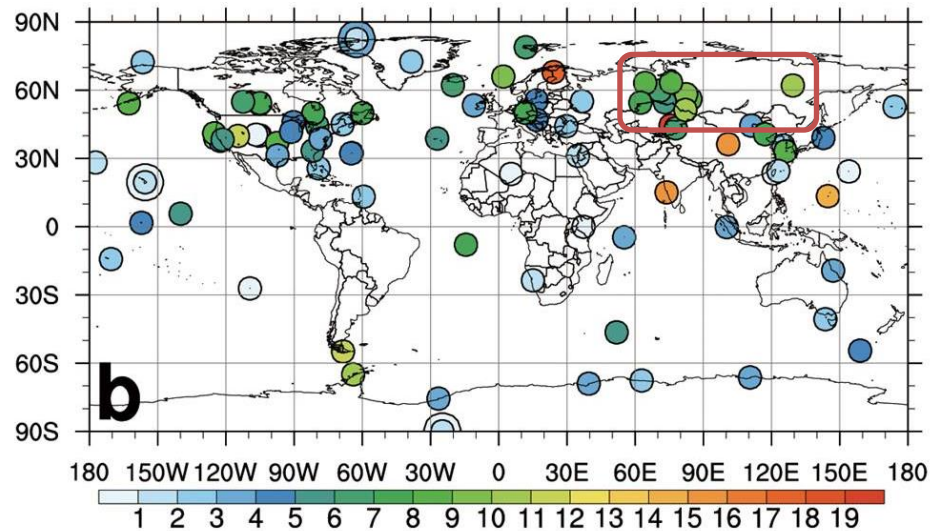
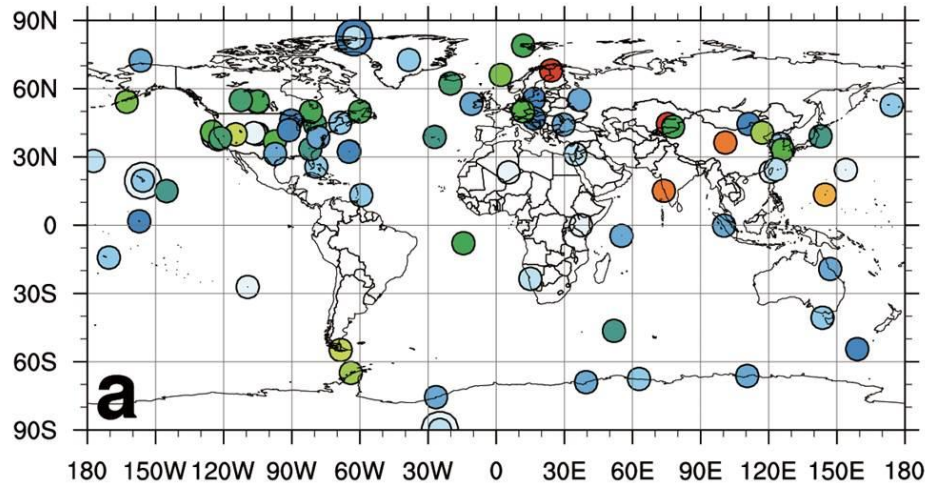
# Result : Optimized surface CO<sub>2</sub> flux



CNTL  
JR

- Additional Siberian data provides information on the surface CO<sub>2</sub> uptake by vegetation activity in the NH summer.
- The largest difference in surface CO<sub>2</sub> flux between the two experiments occurs in June and July, which represent the active season of the terrestrial ecosystem with a large surface CO<sub>2</sub> flux uncertainty.

# Result : Observation impact



- The average self-sensitivities at the JR-STATION sites are as large as those at the tower measurements in North America.
- The average self-sensitivities of additional observations are higher than those of other sites, providing much information for estimating surface CO<sub>2</sub> fluxes.

# Conclusion (Part II)

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- In part II, to investigate the effect of the Siberian observations, which are not used in the previous studies using CarbonTracker, on the optimization of surface CO<sub>2</sub> fluxes, two experiments, named CNTL and JR, with different sets of observations from 2000 to 2009 were conducted and optimized surface CO<sub>2</sub> fluxes from 2002 to 2009 are analyzed.
- The global balances of the sources and sinks of surface CO<sub>2</sub> fluxes was maintained for both experiments, while the distribution of the optimized surface CO<sub>2</sub> fluxes changed.
- The results show that the JR-STATION data affect the longitudinal distribution of the total NH sinks, especially in the EB and Europe, when it is used by atmospheric CO<sub>2</sub> inversion modeling.

# Concluding remarks

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- CarbonTracker is an atmospheric CO<sub>2</sub> inverse modeling system that estimates the surface CO<sub>2</sub> fluxes using EnKF with atmospheric CO<sub>2</sub> measurements as a constraint.
- In part I, a diagnostic is developed to calculate the effect of individual CO<sub>2</sub> observations on estimating the surface CO<sub>2</sub> flux in the CarbonTracker framework.
- In part II, the effect of additional CO<sub>2</sub> observations in Siberia, where no surface CO<sub>2</sub> measurement site existed in the previous CarbonTracker framework, on the surface CO<sub>2</sub> flux analyses for the globe and Asia was investigated.
- The results show that the relative importance of each observation site and each observation site category can be evaluated by analyzing the self-sensitivity and information content and additional Siberian observations provide useful information on analyzing the distribution of surface CO<sub>2</sub> uptake in Northern Hemisphere.



**Thank you**